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PTO/SB/05 (08/00)

Approved for use through 10/31/2002. OMB 0651-0032
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## **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		720801-1010					
First In	ventor	Holtsinger					
Title	SYSTEM AND METHO	D FOR PROVIDING MUSIC MANAGEMEN	T				
	AND INVESTMENT OF	PORTUNITIES O					

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APPLICATIO See MPEP Chapter 600 concern	ents		RESS		Assistant Commiss Box Patent Applica Washington, DC 20	ation :0231	2 c.	
	APPLICANT REQUESTS E	ARLY PUE	3LICAT!	ION UN	NDER 37 C	CFR 1.219 (additions	al fee)	100
1. <b>X</b> Fee Transmittal Form (6 (Submit an original, and a c	e g , PTO/SB/17) duplicate for fee processing)		7.			l or CD-R in duplicate, la (Appendix)	arge table or Com	nputer
2. Applicant claims small e	entity status.		8.		_ `	or Amino Acid Sequence	e Submission	
3. Specification (preferred arrangement	[Total Pages set forth below)	45	]	a.		Computer Readable	Copy (CRF)	
	es to Related Applications			b.	Specifical	ப ition Sequence Listing o	n;	
<ul> <li>Reference to sec</li> </ul>	rding Fed. Sponsored R&D quence listing, a table, or a				:	CD-ROM or (	CD-R (2 copies);	or
<ul> <li>Background of the</li> </ul>					i. ii.	Paper	JU-N (Z GOPIGO),	Oi
- Brief Summary o						, · 1		
- Detailed Descrip	n of the Drawings ( <i>if filed)</i> otion			C.	<u></u> '	Statements verifying	· · · · · · · · · · · · · · · · · · ·	
- Claim(s)			<u> </u>	ACC	COMPAN	NYING APPLICA	ATION PAR	TS
- Abstract of the D	isclosure		9.		Assignme	ent Papers (cover sheet	t & Documents(s)	))
4. X Drawing(s) (35 USC 11.	(3) [Total Sheets	9	J 10.		37 CFR 3	3 73(b) Statement ere is an assignee)	Power Attorne	of
5. Oath or Declaration	[Total Pages	2	<i>]</i> 11.		ī `	Franslation Document (#	<del></del>	<b>з</b> у
a. Newly Executed	(original or copy)	<u> </u>	12.			on Disclosure nt (IDS)/PTO-1449	Copies	s of IDS
	or application (37 CFR §1.63(d))	-n	13		_ 7	ary Amendment		***
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1. 1	ETION OF INVENTOR(S)		15.		Certified C	be specifically itemized) Copy of Priority Docume Priority is claimed)		
Signe	ed statement attached deleting inved in the prior application,	ventor(s)			-	n priority is claimed)	2511.0.0	
	37 CFR 1.63(d)(2) and 1 33(b)		16.		122(b)(2)(	and Certification under a (B)(I). Applicant must a 35 or its equivalent.		
6. Application Data Sheet	See 37 CFR 1 76		17.		Other:			
Assignee					J _			_
Name and Address (If applicable)								
18. If a CONTINUING APPLICA or in an Application Data Sheet u		and supply	y the re	quisite	informatio	n below and in a pre	eliminary amen	idment,
Continuation		Continuatio	on-in-n	ort (CI	יסו	of prior application	n No. /	
Prior application informa		/Ultilinuude	יק ווו־ווּן,	arc (C.	-	or prior application G <b>roup / Art Unit:</b>	il No.	•
For CONTINUATION OR DIVISIONAL APP- disclosure of the accompanying continuation inadvertently omitted from the submitted app	S only the entire disclosure of the poor or divisional application and is her	rior application reby incorpora	i, from whated by ref	ich an oa ference	ath or declarat	ition is supplied under Box	5b, is considered a upon when a portic	a part of the
matronian similar	18. CORRI	ESPOND	ENCE	ADDR	ESS			
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Customer Number or Bar Coo	(Insert Custome			ode labe	el here) or	r Correspondenc	ce address below	,
NAME Peter A. N Thomas, K	lieves Kayden, Horstemeyer & F	Risley, L.	L.P.					
	ia Parkway							
CITY Atlanta	STATE	Georgia				ZIP CODE	30339-5948	3
COUNTRY U.S.A.	TELEPHONE	770-933-				FAX	770-951-09	
Name (Print/Type)	Peter A. Nieves	^		Reç	aistration N	No. (Attorney/Agent)	1	•
Signature	<u> </u>			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Date	1/1/02	175	

Burden Hour Statement: This form is estimated to take 0 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office. Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Box Patent Application. Washington DC 20231.

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision

Complete If Known				
Application Number	To Be Assigned			
Filing Date	January 11, 2002			
First Named Inventor	Matt Holtsinger			
Examiner Name	To Be Assigned			
Group / Art Unit	To Be Assigned			
Attorney Docket No.	720801-1010			

TOTAL AMOUNT OF PAYMENT	UNT OF PAYMENT (\$) 520.00 Attorney Docket No. 720801-1010							
METHOD OF PAYMENT					FEE C	ALCULATION (continued)		
The Commissioner is hereby authorized to charge to the following Deposit Account,			ADDIT	ONAL	FEES			
Deposit Account Number	20-0778	Large	Entity		Entity			
Mulliper		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name Thomas, Ka	ayden, Horstemeyer Risley	105 127	130 50	205 227	65 25	Surcharge - late filing fee Surcharge - late provisional filing fee or cover sheet.		
Charge any additional fee required and requested to credit any overpayment			130	139	130	Non-English specification		
	y additional fee required or credit any onal fee required and requested to credit	147	2,520	147	2,520	For filing a request for reexamination		
any overpayment  Applicant claims small entity stat	112 113	920* 1,840*	112 113	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after			
nud.					Examiner action			
Payment Enclose	al.	115	110	215	55	Extension for reply within first month		
Payment Enclose Check Mo	nov Crodit C	116	400	216	200	Extension of time within second month		
One One		117 118	920 1,440	217 218	460 720	Extension of time within third month  Extension of time within fourth month		
FEE CALC	ΙΙ ΔΤΙΟΝ	128	1,960	228	980	Extension of time within fifth month		
BASIC FILING FEE	DEATION	119	320	219	160	Notice of Appeal		
1.5 2								
Large Entity Small Entity	Fee Description Fee Paid	120 121	320 280	220 221	160	Filing a brief in support of an appeal		
Ecode (\$) Code (\$)	·	138	1,510	138	140 1,510	Request for oral hearing Petition to institute a public use proceeding		
101 740 201 370	Utility filing fee \$370	140	110	240	55	Petition to revive - unavoidable		
106 330 206 165	Design filing fee \$	141	1,280	241	640	Petition to revive - unintentional		
107 510 207 255	Plant filing fee \$	142	1,280	242	640	Utility issue fee (or reissue)		
108 740 208 370 114 160 214 80	Reissue filing fee \$ Provisional filing fee \$	143	460	243	230	Design issue fee		
100 214 00		144 122	620 130	244 122	310 130	Plant issue fee		
1400 pt 1600 p	SUBTOTAL (1) (\$)370	123	50	123	50	Petitions to the Commissioner Processing fee under 37 CFR 1 17(q)		
2. EXTRA CLAIM FEES		126	180	126	180	Submission of Information Disclosure Stmt		
			40	581	40	Recording each patent assignment per		
Extra C	Fee from claims below Fee Paid	146	740	246	370	property (time number of properties) Filing a submission after final rejection (37		
Total Claims 32 -20**= 12	x 9.00 = 108	149	740	249	370	CFR 1 129(a)) For each additional invention to be examined (37 CFR 1.129(b))		
Independent 4 -3** = 1	x 42.00 = 42	179	740	279	370	Request for Continued Examination (RCE)		
Multiple Dependent	140.00 =	169	900	169	900	Request for expedited examination of a design application		
Large Entity Small Entity								
Fee Fee Fee Fee Code (\$) Code (\$)	Fee Description							
103 18 203 9	Claims in excess of 20	Other fee (specify)					İ	
102 84 202 42	Independent Claims in excess of 3							
104 280 204 140	Multiple dependent claims in excess of 3							
109 84 209 42	**Reissue independent claims over original patent	Other fee (specify)						
110 18 210 9								
** or number previously paid, if greater; For Reissues, see above SUBTOTAL (2) (\$)150			ced by Bas	ic Filing I	Fee Paid	SUBTOTAL (3)		
SUBMITTED BY					Complete (if applicable)			
Typed or Printed Name Peter A. Nieves			Registration Attorney/A			<b>48,173</b> Telephone Number 770-9	33-9500	
Signature			Dat	e ,/	Deposit Account User ID			
	WARNING: Information on this form may	b	mulalia C	- 111 0	11/	**/ ***		

In re: Holtsinger

For: SYSTEM AND METHOD FOR PROVIDING MUSIC MANAGEMENT AND INVESTMENT OPPORTUNITIES

## **CERTIFICATE OF EXPRESS MAIL**

Assistant Commissioner for Patents BOX: Patent Application Washington, D.C. 20231

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard
Utility Patent Application Transmittal Page
Fee Transmittal Page
Patent Application Consisting Of:
34 Pages of Specification
10 Pages of Claims (Claims 1-32)
1 Page of Abstract
9 Pages of Formal Drawings (Figs. 1-9)
Unexecuted Declaration/Power of Attorney
Check in the amount of \$520.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778

Respectfully submitted,

Peter A. Nieves, Reg. No. 48,173

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

100 Galleria Parkway, N.W.

Suite 1750

Atlanta, Georgia 30339-5948

Our Docket No: 720801-1010

I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service <u>"EXPRESS MAIL POST OFFICE TO ADDRESSEE"</u> service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To

Addressee" Package # EL763769160US.

Date: 1 anuary 11, 2002

Carla Bridwell Stone